

Docket No. 292214US0PCT



IFW
MAIL STOP PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Mitsuo WADA, et al.

SERIAL NO: 10/591,767

GAU:

FILED: September 1, 2006

EXAMINER:

FOR: PHTHALOCYANINE COMPOSITION, AND PHOTOCONDUCTIVE MATERIAL, ELECTROPHOTOGRAPHIC PHOTORECEPTOR, ELECTROPHOTOGRAPHIC PHOTORECEPTOR CARTRIDGE, AND IMAGE-FORMING APPARATUS EACH EMPLOYING THE COMPOSITION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
292214US0PCTSERIAL NO.
10/591,767

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Mitsuo WADA, et al.FILING DATE
September 1, 2006

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3 357 989	12-12-67	BYRNE, John F.			
	AB						

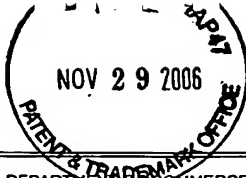
FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AC	6 212089	08-02-94	JP (with English abstract)		NO
	AD	9 258466	10-03-97	JP (equivalent of US 5 759 726)		NO
	AE	62 67094	03-26-87	JP (with English abstract)		NO
	AF	5 98181	04-20-93	JP (with English abstract)		NO
	AG	5 263007	10-12-93	JP (equivalent of US 5 302 479)		NO
	AH	10 67946	03-10-98	JP (with English abstract)		NO
	AI	9 120171	05-06-97	JP (equivalent of US 5 534 376)		NO
	AJ	10 48859	02-20-98	JP (with English abstract)		NO
	AK	2000 336283	12-05-00	JP (with English abstract)		NO
	AL	4 372663	12-25-92	JP (equivalent of US 5 292 604)		NO
	AM	2000 313819	11-14-00	JP (with English abstract)		NO
	AN	202 244321	08-30-02	JP (with English abstract)		NO
	AO	6 175382	06-24-94	JP (with English abstract)		NO
	AP	5 45914	02-26-93	JP (equivalent of US 5 302 710)		NO
	AQ	61 217050	09-26-96	JP (with English abstract)		NO
	AR	61 239248	10-24-86	JP (with English abstract)		NO
	AS	1 207755	08-21-89	JP (with English abstract)		NO
	AT	4 323270	11-12-92	JP (equivalent of US 5 593 805)		NO
	AU	6 287189	10-11-94	JP (with English abstract)		NO
	AV	2 8256	01-11-90	JP (with English abstract)		NO
	AW	2 289658	11-29-90	JP (with English abstract)		NO
	AX	7 271073	10-20-95	JP (with English abstract)		NO
	AY	3 128973	05-31-91	JP (equivalent of US 5 132 197)		NO

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AZ		<input type="checkbox"/> Additional References sheet(s) attached
Examiner			Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAA						
	AAB						
	AAC						
	AAD						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AAE	1 221459	09-04-89	JP (with English abstract)		NO
	AAF	11 172142	06-29-99	JP (with English abstract)		NO
	AAG	6 279698	10-04-94	JP (equivalent of US 5 508 395)		NO
	AAH	2002 235014	08-23-02	JP (with English abstract)		NO
	AAI	10 88023	04-07-98	JP (equivalent of US 5 910 384)		NO
	AAJ	2000 219817	08-08-00	JP (with English abstract)		NO
	AAK	4 351673	12-07-92	JP (with English abstract)		NO
	AAL	5 186702	07-27-93	JP (equivalent of US 5 336 578)		NO
	AAM	6 234937	08-23-94	JP (with English abstract)		NO
	AAN	8 41373	02-13-96	JP (with English abstract)		NO
	AAO	6 145550	05-24-94	JP (with English abstract)		NO
	AAP	3 9962	01-17-91	JP (equivalent of US 5 213 929)		NO
	AAQ	2002 251026	09-06-02	JP (with English abstract)		NO
	AAR					
	AAS					
	AAT					
	AAU					
	AAV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AAW	
	AAX	
	AAY	
	AAZ	

☐ Additional References sheet(s) attached

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STATEMENT OF RELEVANCY

- 1) References AC - AD have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AA, AE-AY and AAE - AAQ are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.